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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	10/803,179
Filing Date	March 17, 2004
First Named Inventor	Sung Hwan Moon
Art Unit	1624
Examiner Name	
Attorney Docket No.	200146.402C3

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration (2) <input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ): <u>Copy of Assignment</u> _____ _____ _____
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

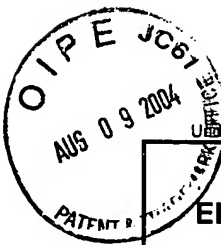
Individual Name	Qing Lin, Ph.D.	Customer Number	00500
Signature			
Date	August 5, 2004		

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Karen Williamson	
Signature		Date: August 5, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. #505402\_1.DOC



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PTO/SB/81 (06-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	<b>Application Number</b>	10/803,179
	<b>Filing Date</b>	March 17, 2004
	<b>First Named Inventor</b>	Sung Hwan Moon
	<b>Title</b>	REVERSE-TURN MIMETICS AND METHOD RELATING THERETO
	<b>Art Unit</b>	1624
	<b>Examiner Name</b>	
	<b>Attorney Docket Number</b>	200146.402C3

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name					
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Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71, to prosecute the application to the exclusion of the inventor(s).

**SIGNATURE of Applicant or Assignee of Record**

Name	Kyung-Ha Lee
Signature	<i>Kyung H. Lee</i>
Date	<i>July 28, 2004</i>

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Sung Hwan Moon et al.

Application No./Patent No.: 10/803,179 Filed/Issue Date: March 17, 2004

Entitled: REVERSE-TURN MIMETICS AND METHOD RELATING THERETO

Choongwae Pharma Corporation

(Name of Assignee)

a

Corporation

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title noted in B above are  
attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be  
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the  
records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

July 29, 2004  
Date

\_\_\_\_\_  
Telephone Number

Kyung-Ha Lee

Typed or printed name

Kyung H. Lee  
Signature

President and Chief Executive Officer

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**COPY**

**ASSIGNMENT**

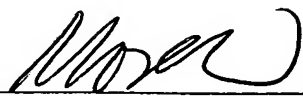
WHEREAS, we, **Sung Hwan Moon, Jae Uk Chung, Sung Chan Lee, Masakatsu Eguchi, Michael Kahn, Kwang Won Jeong, and Cu Nguyen** (hereinafter referred to as **ASSIGNORS**), having mailing addresses of 410-101 LG Village, Keumkok-dong, Kwonsun-ku, Suwon-shi, Kyunggi-do 441-460, Korea; 2-305 Samhwan Apt, Guyun-dong Kwonsun-ku, Suwon-shi, Kyunggi-do 441-703, Korea; 336-1501 Chugong 3<sup>rd</sup> Apt, 1083 Kwonsun-dong Kwonsun-ku, Suwon-shi, Kyunggi-do 441-390, Korea; 636 129<sup>th</sup> Place Northeast, Bellevue, Washington 98005; 10916 80<sup>th</sup> Place Northeast, Kirkland, Washington 98034; 58-102 Dongjak-dong, Dongjak-ku, Seoul 156-080, Korea; and 10918 107<sup>th</sup> Avenue Northeast, Arlington, Washington 98223; respectively, are the joint inventors of an invention entitled "REVERSE-TURN MIMETICS AND METHOD RELATING THERETO," as described and claimed in the specification for which an application for United States letters patent was filed on March 17, 2004, and assigned Application No. 10/803,179.

WHEREAS, **Choongwae Pharma Corporation** (hereinafter referred to as **ASSIGNEE**), a corporation of the Country of Korea, having a place of business at 698 Shindaebang-Dong, Dongjak-Ku, Seoul 156-757, Korea, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNORS** hereby sell, assign and transfer unto said **ASSIGNEE**, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of **ASSIGNEE** and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by **ASSIGNEE** and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by **ASSIGNORS** had this assignment, transfer and sale not been made. **ASSIGNORS** hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to **ASSIGNEE**. **ASSIGNORS** agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters

patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

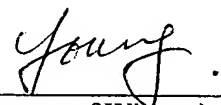
June 29, 2004  
Date

  
Sung Hwan Moon

City of Kyunggi-do )  
Country of Korea ) ss.

I certify that I know or have satisfactory evidence that Sung Hwan Moon is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

June 29, 2004  
Date  
(Type or Print)

  
(Signature of Witness)  
Kim Ji Young  
(Type or Print Name of Witness)

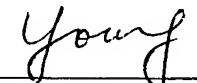
June 29, 2004  
Date

  
Jae Uk Chung

City of Kyunggi-do )  
Country of Korea ) ss.

I certify that I know or have satisfactory evidence that Jae Uk Chung is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Date June 29, 2004  
(Type or Print)

  
(Signature of Witness)  
Kim Ji Young  
(Type or Print Name of Witness)

Date

June, 29, 2004

Sung Chan Lee

Sung Chan Lee

City of Kyunggi-do )

) ss.

Country of Korea )

I certify that I know or have satisfactory evidence that Sung Chan Lee is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Date

June 29, 2004

(Type or Print)

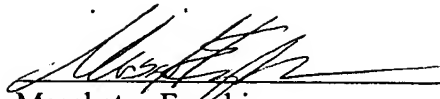
(Signature of Witness)

Young

(Type or Print Name of Witness)

Kim Ji Young

6-16-04  
Date

  
Masakatsu Eguchi

State of Washington       )  
  ) ss.  
Country of United States    )

I certify that I know or have satisfactory evidence that Masakatsu Eguchi is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Date \_\_\_\_\_  
(Type or Print)

\_\_\_\_\_  
(Signature of Witness)

\_\_\_\_\_  
(Type or Print Name of Witness)



6/15/04  
Date

Michael Kahn  
Michael Kahn

State of Washington      )  
  ) ss.  
Country of United States    )

I certify that I know or have satisfactory evidence that Michael Kahn is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Date \_\_\_\_\_  
(Type or Print)

\_\_\_\_\_  
(Signature of Witness)

\_\_\_\_\_  
(Type or Print Name of Witness)

6/15/04  
Date

Kwang Won Jeong  
Kwang Won Jeong

City of Seoul )  
Country of Korea ) ss.

I certify that I know or have satisfactory evidence that Kwang Won Jeong is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Date \_\_\_\_\_  
(Type or Print)

\_\_\_\_\_  
(Signature of Witness)

\_\_\_\_\_  
(Type or Print Name of Witness)

6/15/04  
Date

Cu Nguyen  
Cu Nguyen

State of Washington            )  
  ) ss.  
Country of United States        )

I certify that I know or have satisfactory evidence that Cu Nguyen is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Date \_\_\_\_\_  
(Type or Print)

\_\_\_\_\_  
(Signature of Witness)

\_\_\_\_\_  
(Type or Print Name of Witness)

#489029  
Docket No.200146.402C3